## KFX Medical Corporation v. Arthrex Incorporated

Case No. <u>11-cv-1698-DMS</u>

## PARTIES' JOINT TRIAL EXHIBIT LIST

NO.	DATE MARKED	DATE ADMITTED	DESCRIPTION
1.	8/21/2013	8/28/2013	U.S. Patent No. 7,585,311
1A	8/22/2013	8/28/2013	U.S. Patent No. 7,585,311 (Official ribbon bearing)
2	8/21/2013	8/28/2013	U.S. Patent No. 8,100,942
2A	8/22/2013	8/28/2013	U.S. Patent No. 8,100,942 (Official ribbon bearing)
3	8/21/2013	8/28/2013	U.S. Patent No. 8,109,969
3A	8/22/2013	8/28/2013	U.S. Patent No. 8,109,969 (Official ribbon bearing)
5	8/26/2013	8/28/2013	Various correspondence re rotator cuff repair studies and partnerships
7.	8/27/2013	8/28/2013	2004-04-27 Email Holloway to R. Schmieding et al. re BioT with FiberTape
8	8/26/2013	8/28/2013	2004-01-21 Surgery report by Dr. ElAttrache
9	8/27/2013	8/28/2013	Design History File – Modification of the Current Tenodesis Driver for the 5.5 mm Screw
11	8/26/2013	8/28/2013	PowerPoint – Considerations for Rotator Cuff Repair Techniques
15	8/22/2013	8/28/2013	Arthrex brochure: SutureBridge Rotator Cuff Repair
16	8/22/2013	8/28/2013	Arthrex brochure: Fulfilling the Need for Precision and Speed - Rotator Cuff Repair
17	8/22/2013	8/28/2013	Arthrex brochure: Knotless Rotator Cuff Repair
18	8/22/2013	8/28/2013	Arthrex brochure: Fulfilling the Need for Precision and Speed - Rotator Cuff Repair
20	8/22/2013	8/28/2013	Arthrex brochure: SutureBridge Rotator Cuff Repair
21	8/22/2013	8/28/2013	Arthrex brochure: Knotless Rotator Cuff Repair
25	8/22/2013	8/28/2013	Website printout – SpeedBridge Double Row technique
29	8/27/2013	8/28/2013	2009-01-08 Sales Bulletin
33	8/22/2013	8/28/2013	2010-01-25 Email Benavitz to Check re Updated Preliminary Estimates
35	8/26/2013	8/28/2013	PowerPoint – Fixation Comparisons for Rotator Cuff Repairs
36	8/27/2013	8/28/2013	2004-02-09 Email Cottle to Guanche re Ribbed FiberWire
37	8/27/2013	8/28/2013	2005-04-25 Email Cottle to Waterhouse et al. re ar-1934PS
39	8/27/2013	8/28/2013	2004-01-20 Email Holloway to Cottle et al. re Testing this Week

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54	8/27/2013	8/28/2013	2007-05-03 Email Benavitz to Holter et al. re shoulder revenue
60.1	8/27/2013	8/28/2013	2006-03-02 Email Benavitz to David et al. re Arthrex SutureBridge Construct (Exh 60 as redacted)
71	8/27/2013	8/28/2013	2007-05-21 Email Wagner to Harkey et al. re SutureBridge kit
72	8/22/2013	8/28/2013	Biocomposite 16 Pushlock 4.5 Millimeter with packaging
73	8/22/2013	8/28/2013	2005-11-08 Email Sodeika to Benavitz et al. re text for Scope This Out
74	8/22/2013	8/28/2013	2005-11-15 Email Sluss to Sodeika et al. re PushLock demo kit instructions
75	8/22/2013	8/28/2013	2005-12-14 Email Sodeika to ElAttrache et al. re FW Dr. Guerra's first PushLock SutureBridge double row cuff repair
76	8/22/2013	8/28/2013	2005-12-23 Email Sodeika to ElAttrache et al re Suture bridge technique
77	8/22/2013	8/28/2013	2005-12-29 Email Sodeika to Cole et al. re Suture Bridge with PushLock technique
79	8/22/2013	8/28/2013	2006-01-05 Email Guerra to Sodeika re Suture bridge
80	8/22/1013	8/28/2013	2006-01-09 Email Sodeika to Cole et al. re FW Contact
85	8/22/2013	8/28/2013	2007-11-15 Email Sodeika to Dreyfuss et al re ElAttrache SutureBridge problem
86	8/22/2013	8/28/2013	2008-03-12 Email Sodeika to Shufelt re Additional info on complaint CA62523 - AR-19268 Eyelet Breakages
87	8/22/2013	8/28/2013	2009-04-30 Email Sodeika to Complaints et al. re PushLock Eyelet Complaint
88	8/22/2013	8/28/2013	2009-05-01 Email Sodeika to Complaints et al. re PushLock Eyelet breakage
89	8/22/2013	8/28/2013	2009-05-02 Email Sodeika to Complaints et al. re PushLock Eyelet breakage
90	8/22/2013	8/28/2013	2009-05-16 Email Sodeika to Complaints et al. re Multiple PushLock Eyelet issues
96	8/22/2013	8/28/2013	2011-05-26 Email Sodeika to Hawkins re Lateral Row First
111	8/22/2013	8/28/2013	2006-04-17 Email Maas to Benavitz re FW The Inside Out Report
112	8/22/2013	8/28/2013	2006-12-07 Email R. Schmieding to ElAttrache re Arthrex upper extremity product development
114	8/22/2013	8/28/2013	2008-04-12 Email R Schmieding to ElAttrache re FW Tony Romero - SpeedBridge
122	8/22/2013	8/28/2013	2005-12-18 Email R. Schmieding to ElAttrache et al. re Suture bridge Surgical Technique Video

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123	8/22/2013	8/28/2013	2006-01-06 Email R. Schmieding to ElAttrache et al. re Suture bridge action points
127	8/22/2013	8/28/2013	2007-01-15 Email R. Schmieding to ElAttrache re SutureBridge competition
140	8/22/2013	8/28/2013	2007-01-12 Email O'Keefe to R. Schmieding re KFX
144	8/22/2013	8/28/2013	2007-05-02 Email R. Schmieding to Willobee et al re Fw Versalok testing
156	8/26/2013	8/28/2013	"AR-2324BCM, which is a 4.75 millimeter 11 biocomposite SwiveLock self-punching version"
159	8/26/2013	8/28/2013	2006 Article: Biomechanical Comparison of a Single-Row Versus Double-Row Suture Anchor Technique for Rotator Guff Repair
160	8/26/2013	8/28/2013	2007 Article: Part 1: Footprint contact characteristics for a transosseous-equivalent rotator cuff repair technique compared with a double-row repair technique
161	8/26/2013	8/28/2013	2006 Article: "Transosseous-Equivalent" Rotator cuff Repair Technique
162	8/26/2013	8/28/2013	Park, C., et al., "Part II: Biomechanical assessment for a footprint-restoring transosseous-equivalent rotator cuff repair technique compared with a double-row repair technique," J. Shoulder Elbow Surg., Volume 16, No. 4
166	8/26/2013	8/28/2013	2004-02-09 Email Cottle to ElAttrache re Ribbed FiberWire & FiberTape
167	8/26/2013	8/28/2013	2004-05-01 Email R. Schmieding to Dreyfuss et al. re FW knotless Fibertape RC repair
428	8/27/2013	8/28/2013	2003-09-12 Email Guanche to Wyman re March 22 shoulder repair lab
468	8/27/2013	8/28/2013	Arthrex brochures - Biotenodesis screw system
476	8/26/2013	8/28/2013	2004-04-12 Email Holloway to Serntri@aol.com re double row rotator cuff repair
482	8/26/2013	8/28/2013	2010-04-21 Sales bulletin – Vented BioComposite SwiveLock
525	8/26/2013	8/28/2013	Native video (WMV) Suture Bridge Rotator Cuff Repair Featuring the PushLock Anchor, Neal S. ElAttrache, M.D.
531	8/26/2013	8/28/2013	2010-06-25 Letter from Sally Foust (Arthrex) to FDA re: 510(k) Pre-Market Notification: Arthrex SwiveLock Anchors
532	8/22/2013	8/28/2013	File History for Ex Parte Reexamination of U.S. Patent 7,585,311
533	8/28/2013	8/28/2013	Utility Application 11/143007
534	8/21/2013	8/28/2013	Provisional Application for Patent 06/576477

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539	8/27/2013	8/28/2013	Native video (MP4) Arthroscopic SpeedBridge Double Row Rotor Cuff Repair, Peter Millett
540	8/26/2013	8/28/2013	Native video (MP4) PASTA SutureBridge, Stephen S. Burkhard, M.D.
552	8/26/2013	8/28/2013	June 29, 2005, Section IX. 510(k) Summary of Safety and Effectiveness, Arthrex PushLock, K051219
553	8/26/2013	8/28/2013	Jan. 7, 2011, 3 510(k) Summary of Safety and Effectiveness, re: K101823, Arthrex Swivelock Anchors
591	8/28/2013	8/28/2013	Greenleaf "ElAttrache" demo (specifically the close up 1 and 3)
666	8/27/2013	8/28/2013	SutureBridge Sales Bulletin, April 10, 2006
689	8/27/2013	8/28/2013	2010-04-06 Email from John Sodeika to Bunmoku Tei, et al. re: The market information of suture anchors by type in rotator cuff repairs outside of Japan
727	8/22/2013	8/28/2013	2004-09-17 Provisional Application For Patent Attachment: Means To Secure Soft Tissue to Rigid Material
732	8/26/2013	8/28/2013	Speedbridge 1 Screenshot – PDF of Screenshot from Five Corners.
734	8/26/2013	8/28/2013	Suturebridge Screenshot – PDF of Screenshot from Five Corners.
743	8/27/2013	8/28/2013	2005-10-14 Benavitz approval of PL Sales Bulletin
772	8/22/2013	8/28/2013	Imhoff, Ticker, Fu. An Atlas of Shoulder Arthroscopy. Martin Dunitz (2003)
773	8/22/2013	8/28/2013	Fu, Ticker, Imhoff. An Atlas of Shoulder Surgery. Martin Dunitz (1998)
796	8/22/2013	8/28/2013	Plaintiff's Provisional Application for Patent No. 60/634174 for "SUTURE ATTACHMENT FOR SECURING SOFT TISSUE TO RIGID MATERIAL" dated December 7, 2004.
799	8/21/2013	8/28/2013	2000-11-20 Letter from Joseph Tauro to Bill Benavitz Attaching Signed Confidential Non-Disclosure Agreement
804	8/21/2013	8/28/2013	2002-10-12 Email from Bill Benavitz to Joseph Tauro Re Nottage Meeting
815	8/26/2013	8/28/2013	Physical Exhibit- BioTenodesis driver
816	8/28/2013	8/28/2013	Physical Exhibit- 5x15 BioTenodesis screws
820	8/26/2013	8/28/2013	Physical Exhibit – SpeedBridge Kit
852	8/22/2013	8/28/2013	Summary of research study
859	8/21/2013	8/28/2013	Tauro, J., "Arthroscopic Rotator Cuff Repair: Analysis of Technique and Results at 2- and 3-Year Follow Up," Arthroscopy: The Journal of Arthroscopic and Related Surgery, Vol. 14, No. 1 (January-February) 1998

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864	8/22/2013	8/28/2013	Slide presentation titles "KFx Medical Corporation
867	8/21/2013	8/28/2013	Lab notebook
937	8/28/2013		Designation of Deposition testimony of Benavitz, Schmieding, Sodeika and Cottle
DX 5002	8/27/2013	8/28/2013	7585311 (Green, et al.) [DDX 012 (Scott); KFX0000001- KFX0000038]
DX 5121	8/26/2013	8/28/2013	Millet, et al., Mattress Double Anchor Footprint Repair: A Novel, Arthroscopic Rotator Cuff Repair Technique [ARTH_0000136-ARTH_0000140]
DX 5134	8/22/2013	8/28/2013	Chu, et al., Comparison of Completely Knotless and Hybrid Double-Row Fixation Systems: A Biomechanical Study [DDX 035 (Scott); ARTH_0174147-ARTH_0174153]
DX 5220	8/26/2013	8/28/2013	MPI 598 Volume 2 of 3 – Titanium Plate, Screws & Lock Washers [ARTH_0077675-ARTH_0078188]
DX 5222	8/26/2013	8/28/2013	MPI 635 Book 1 of 5 - 3mm Bio-Absorbable Knotless Anchor and 5mm Bio-Absorbable Knotless Anchor (Knotless or Knotted Suture Fixation for Labrum and Cuff) [PDX 043 (Dreyfuss); ARTH_0032643-ARTH_0033088]
DX 5302	8/26/2013	8/28/2013	A. Holloway email to M. Park re Kerlan-Jobe study, attaching Park, et al, Footprint Pressure Distributions for a New Suture Anchor Transosseous-Equivalent Rotator Cuff Repair [PDX 010 (Willobee); ARTH_0184605-ARTH_0184618]
DX 5324	8/26/2013	8/28/2013	E. Keenan email to J. Wyman et al., re PS Kits for New Hires and All Sales Reps [ARTH_0179968-ARTH_0179970]
DX 5352	8/27/2013	8/28/2013	A. Cho email to J. Schmieding re key patent in regard to using it offensively against Smith Nephew and Johnson & Johnson [PDX 196 (J. Schmieding); ARTH_1217094-ARTH_1217095]
DX 5477	8/27/2013	8/28/2013	2013.02.21 Greenleaf-Ex 01-Greenleaf CV
DX 5507	8/27/2013	8/28/2013	CGO Opinion Letter '311 [CGO0000001-120]
DX 5508	8/27/2013	8/28/2013	CGO Opinion Letter '942 [CGO0000121-249]
DX 5509	8/27/2013	8/28/2013	CGO Opinion Letter '969 [CGO0000250-379]
DX 5510	8/27/2013	8/28/2013	CGO Opinion Letter - Invalidity [CGO0000380-619]
DX 5511	8/27/2013	8/28/2013	CGO Opinion Letter '311, '942, 969 Infringement [CGO0000620-623]
DX 5544	8/27/2013	8/28/2013	5584835 (Greenfield) [DDX 118 (Green); ARTH_0002036-ARTH_0002054]
DX 5557	8/27/2013	8/28/2013	5891168 (Thal) [DDX 104 (Melnick); ARTH_0002192- ARTH_0002198]
DX 5621	8/26/2013	8/28/2013	6544281 (ElAttrache, et al.) [DDX 165 (Ticker); ARTH_0005429-ARTH_0005447]
DX 5726	8/26/2013	8/28/2013	Lab / Technique photos [DDX 168 (Ticker); ARTH_0004623]
DX 5727	8/26/2013	8/28/2013	Lab / Technique photos [DDX 168 (Ticker); ARTH_0004624]
DX 5728	8/27/2013	8/28/2013	Lab / Technique photos [DDX 168 (Ticker); ARTH_0004625]
DX 5730	8/26/2013	W/DRAWN	Bio-Tenodesis, Cadaver [PDX 012 (Willobee); ARTH_0000117]

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DX 5731	8/27/2013	8/28/2013	Lab / Technique photos [ARTH_0004622]
DX 5734	8/21/2013	8/28/2013	Photo - Five men in scrubs, surgery [DDX 117 (Green); TAUR00000001]
DX 5753	8/26/2013	8/28/2013	MDA Slides [ARTH_0004649-ARTH_0004651]
DX 5770	8/22/2013	8/28/2013	SutureCross, Montreux Partners [DDX 060 (Scott); KFX0029663-KFX0029693]
DX 5795	8/26/2013	8/28/2013	ElAttrache Surgical Report, rotator cuff tear, left shoulder [PDX 008 (Willobee); ARTH_0030792-ARTH_0030794]
DX 5805	8/27/2013	8/28/2013	Clinical Evaluation: Anchors, Section 3 Part B [ARTH_0935448-ARTH_0935465]
DX 5846	8/26/2013	8/28/2013	Cottle, Double Row Anchor Technique [DDX 167 (Ticker); ARTH_0000030-ARTH_0000034]
DX 5854	8/26/2013	8/28/2013	Arthrex dual row rotator cuff repair technique, with Testing Data [DDX 169 (Ticker); ARTH_0004507-ARTH_0004508]
DX 5860	8/26/2013	8/28/2013	SutureBridge Double Row Rotator Cuff Repair using the Bio-PushLock and Bio-Corkscrew FT (LT0515A) [ARTH_0029196-ARTH_0029201]
DX 5878	8/27/2013	8/28/2013	Achilles SutureBridge (LT0460D) [ARTH_0029078-ARTH_0029083]
DX 5887	8/26/2013	8/28/2013	Knotless Rotator Cuff Repair (LT0219D) [ARTH_0004509-ARTH_0004516]
DX 5893	8/26/2013	8/28/2013	SutureBridge Rotator Cuff Repair (LT0515E) [DDX 110 (Melnick); ARTH_0029220- ARTH_0029225]
DX 5965	8/26/2013	8/28/2013	[Physical Exhibit] AR-1922BC (BioComposite PushLock)